

CARRIE H PAN

Department of Finance, Santa Clara University
500 El Camino Real, Santa Clara, CA 95053
Phone: (408) 551-7188
Email: chpan@scu.edu

EMPLOYMENT

2007 – Assistant Professor, Santa Clara University

EDUCATION

Ph.D. The Ohio State University, Columbus, Ohio
M.B.A. Santa Clara University, Santa Clara, California
B.S. Zhejiang University, Hangzhou, China

PUBLICATIONS

“Board Interlocks and the Diffusion of Disclosure Policy,” with Ye Cai, Dan S. Dhaliwal, and Yongtae Kim, 2014, *Review of Accounting Studies* 19, 1086-1119.

“Social Influence in the Housing Market,” with Christo Pirinsky, 2013, *Journal of Financial and Quantitative Analysis*, forthcoming.

“The Role of Accounting Conservatism in the Equity Market: Evidence from Seasoned Equity Offerings,” with Yongtae Kim, Siqu Li, and Luo Zuo, 2013, *The Accounting Review* 88(4), 1327-1356.

“Bayesian Modeling in Finance,” with Xiaojing Dong, 2013, *Journal of Investment Management* 11(1), 82-97.

“Investor Personality in Investor Questionnaires,” with Meir Statman, *Journal of Investment Consulting* 14(1), 48-56.

“Questionnaires of Risk Tolerance, Regret, Overconfidence, and Other Investor Propensities,” with Meir Statman, 2012, *Journal of Investment Consulting* 13(1), 54-63.
- Recipient of IMCA’s 2013 Edward D. Baker III Journal Award.

“Doing Well While Doing Bad? CSR in Controversial Industry Sectors,” with Ye Cai and Hoje Jo, 2012, *Journal of Business Ethics* 108(4), 467-480.

“Vice or Virtue? The Impact of Corporate Social Responsibility on Executive Compensation,” with Ye Cai and Hoje Jo, 2011, *Journal of Business Ethics* 104(2), 159-173.

“Former CEO Directors: Lingering CEOs or Valuable Resources?” with Rüdiger Fahlenbrach and Bernadette A. Minton, 2011, *Review of Financial Studies* 24(10), 3486-3518.

“Why Are Firms with Entrenched Managers More Likely to Pay Dividends?” with Hoje Jo, 2009, *Review of Accounting and Finance* 8(1), 87-116.

WORKING PAPERS

“Executive and Director Networks and IPO Underpricing,” with Ye Cai and Haidan Li

“Equity-based Compensation and Systematic Risk,” with Christo Pirinsky

“Why Do Countries Matter so Much for Corporate Social Responsibility?” with Ye Cai and Meir Statman

“Voluntary Disclosure and Executive Compensation,” with Hoje Jo and Haidan Li

“Financial Development and Corporate Payout policies – A Multi-country Analysis”

TEACHING EXPERIENCE

Financial Management, Santa Clara University, 2007–present

International Finance, Santa Clara University, 2010-present

International Finance, The Ohio State University, 2006

PROFESSIONAL ACTIVITIES

Referee

Journal of Economic Behavior & Organization, Journal of Empirical Finance, Review of Finance, Annuals of Finance, Asia-Pacific Journal of Financial Studies, European Journal of Finance, Journal of Financial Service Research, Journal of Business Ethics, Managerial Finance, Journal of International Financial Management and Accounting, Journal of Applied Accounting Research, The Financial Review.

Presentations

“Board Interlocks and the Diffusion of Disclosure”

- 2013 *Review of Accounting Studies* (RAST) Conference, Beijing China
- 2013 Financial Management Association Annual Meeting, Chicago IL

“Executive and Director Networks and IPO Underpricing”

- 2013 European Finance Association Annual Conference, Cambridge UK
- 2012 China International Conference in Finance, Chongqing China

“Social Influence, Homeownership, and the Subprime Mortgage Expansion”

- 2013 Southern Finance Association Annual Meetings, Puerto Rico
- 2013 Boulder Summer Conference on Consumer Financial Decision Making, Boulder CO

“Voluntary Disclosure and Executive Compensation,” with Hoje Jo and Haidan Li

- 2012 Financial Management Association Annual Meeting, Atlanta GA

“The Role of Accounting Conservatism in the Equity Market: Evidence from Seasoned Equity Offerings”

- 2011 Financial Management Association Annual Meeting, Denver CO
- 2011 American Accounting Association Meetings, Denver CO
- 2011, Santa Clara University

“Financial Development and Corporate Payout policies – A Multi-country Analysis”

- 2011 China International Conference in Finance, Wuhan China
- 2010 Midwest Finance conference, Las Vegas NV
- 2009 Financial Management Association Annual Meeting, Reno NV

“Beyond Risk Tolerance: Regret, Overconfidence, Personality and Other Investor Characteristics”

- 2010 Academy of Financial Services Meeting, October 2010, Denver CO
- 2010 First Annual Boulder Summer Conference on Consumer Financial Decision Making, June 2010, Boulder CO

“Why Are Firms with Entrenched Managers More likely to Pay Dividends?”

- The Ohio State University, University of San Diego, Santa Clara University

“Former CEO Directors: Lingering CEOs or Valuable Resources?”

- 2008 American Finance Association Annual Meeting, Chicago IL
- 2008, Santa Clara University

Discussant

2005: Financial Management Association Meeting; 2009: Financial Management Association Meeting; 2010: Financial Management Association Meeting; 2011: China International Conference in Finance, Financial Management Association Meeting; 2012: China International Conference in Finance; 2013: European Financial Association Meeting, Financial Management Association Meeting.

Session Chair

Financial Management Association Meeting (2009, 2010)

HONORS AND AWARDS

Leavey School of Business Extraordinary Performance Award for Scholarship, Santa Clara University, 2011, 2013, and 2014.

IMCA's Edward D. Baker III Journal Award, 2013

University Grants, Santa Clara University, 2012-2013

Leavey Grants, Santa Clara University, 2012-2013

University Grants, Santa Clara University, 2011-2012

Leavey Grants, Santa Clara University, 2011-2012

Leavey Grants, Santa Clara University, 2010-2011

University Grants, Santa Clara University 2010

Leavey Grants, Santa Clara University, 2009-2010

Leavey Grants, Santa Clara University, 2008-2009

Fisher College of Business CGEBA Travel Award, 2005

Member of Beta Gamma Sigma Society, 2002

ASSOCIATIONS

American Finance Association

Financial Management Association