

Note: Reported data do not include revisions made through the Prior Year Data Revision System for any institution prior to collection

### Finance 2012-13

Institution: Santa Clara University (122931)

User ID: inovas1

#### Finance - Private not-for-profit institutions and Public institutions using FASB standards

##### FASB-Reporting Institutions

##### General Information - Fiscal Year and Audit

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS). Please refer to the instructions specific to each screen of the survey for details and references.

#### 1. Fiscal Year Calendar

**This report covers financial activities for the 12-month fiscal year:** (The fiscal year reported should be the most recent fiscal year ending before October 1, 2013.)

Beginning: month/year (MMYYYY)      Month:       Year:

And ending: month/year (MMYYYY)      Month:       Year:

#### 2. Audit Opinion

**Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above?** (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

Unqualified     Qualified (Explain in box below)     Don't know (Explain in box below)

#### 3. Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

Yes (report endowment assets)

No

#### 4. Intercollegiate Athletics

If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?


Auxiliary enterprises

Student services

Does not participate in intercollegiate athletics

Other (specify in box below)

#### 5. Does your institution account for Pell grants as pass through transactions (a simple payment on the student's account) or as federal grant revenues to the institution?

 Pass through (agency)     Federal grant revenue     Does not award Pell grants

You may use the space below to provide context for the data you've reported above.

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**Part A - Statement of Financial Position, Page 1**

Fiscal Year: July 1, 2012 - June 30, 2013

If your institution is a parent institution then the amounts reported in Parts A and B should include ALL of your child institutions

Line No.	Assets, Liabilities, and Net Assets	Current year amount	Prior year amount
<b>Assets</b>			
01	Long-term investments	893,258,000	813,046,000
19	Property, plant, and equipment, net of accumulated depreciation	670,405,000	656,996,000
20	Intangible assets, net of accumulated amortization	0	0
02	Total assets	1,657,845,000	1,568,745,000
<b>Liabilities</b>			
03	Total liabilities	353,992,000	359,606,000
03a	Debt related to Property, Plant, and Equipment	233,959,000	243,026,000
<b>Net assets</b>			
04	Unrestricted net assets	659,068,000	632,386,000
05	Total restricted net assets	644,785,000	576,753,000
05a	Permanently restricted net assets	279,965,000	275,455,000
05b	Temporarily restricted net assets	364,820,000	301,298,000
06	Total net assets (CV=A04+A05)	1,303,853,000	1,209,139,000

You may use the space below to provide context for the data you've reported above.

**Part A - Statement of Financial Position, Page 2**

Fiscal Year: July 1, 2012 - June 30, 2013

Line No.	Plant, Property and Equipment	Ending balance	Prior year Ending balance
11	<u>Land and land improvements</u>	50,482,000	46,463,000
12	<u>Buildings</u>	612,417,000	564,025,000
13	Equipment, including art and <u>library</u> collections	186,677,000	177,711,000
15	<u>Construction in Progress</u>	12,527,000	40,726,000
16	Other	76,322,000	71,254,000
17	Total Plant, Property, and Equipment CV=[(A11+...A16)]	938,425,000	900,179,000
18	<u>Accumulated depreciation</u>	268,020,000	243,183,000
19	Property, Plant, and Equipment, net of accumulated depreciation (from A19)	670,405,000	656,996,000

You may use the space below to provide context for the data you've reported above.

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**Part B - Summary of Changes in Net Assets**

Fiscal Year: July 1, 2012 - June 30, 2013

Line No.	Revenues, Expenses, Gains and Losses	Current year amount	Prior year amount
01	Total revenues and investment return	389,715,000	272,053,000
02	Total expenses	295,001,000	283,961,000
03	<b>Other specific changes in net assets</b> CV=[B04-(B01-B02)]	0	0
04	Change in net assets	94,714,000	-11,908,000
05	Net assets, beginning of year	1,209,139,000	1,221,047,000
06	<b>Adjustments to beginning of year net assets</b> CV=[B07-(B04+B05)]	0	0
07	<b>Net assets, end of year (from A06)</b>	1,303,853,000	1,209,139,000

You may use the space below to provide context for the data you've reported above.

**Part C - Scholarships and Fellowships**

Fiscal Year: July 1, 2012 - June 30, 2013

**DO NOT REPORT FEDERAL DIRECT STUDENT LOANS (FDSL) ANYWHERE IN THIS SECTION**

Line No.	Scholarships and Fellowships	Current year amount	Prior year amount
01	<u>Pell grants (federal)</u> .	3,012,000	3,449,000
02	<u>Other federal grants</u> Do NOT include FDSL amounts	1,620,000	1,542,000
03	<u>State grants</u>	5,124,000	5,604,000
04	<u>Local grants (government)</u>	0	0
05	<u>Institutional grants (funded)</u> .	12,106,000	12,766,000
06	<u>Institutional grants (unfunded)</u> .	60,856,000	55,667,000
07	<b>Total scholarships and fellowships</b> CV=[C01+...+C06]	82,718,000	79,028,000
08	<u>Allowances (scholarships) applied to tuition and fees</u>	72,962,000	68,433,000
09	<u>Allowances (scholarships) applied to auxiliary enterprise revenues</u>	0	0

You may use the space below to provide context for the data you've reported above.

**Part D - Revenues and Investment Return**

Fiscal Year: July 1, 2012 - June 30, 2013

Line No.	Source of Funds	Total Amount	Unrestricted	Temporarily restricted	Permanently restricted	Prior Year Total Amount
01	Tuition and fees (net of allowance reported in Part C, line 08)	215,523,000	215,523,000	0	0	212,262,000
<b>Government Appropriations</b>						
02	Federal appropriations	0	0	0	0	426,000
03	State appropriations	0	0	0	0	0
04	Local appropriations	0	0	0	0	0
<b>Government Grants and Contracts</b>						
05	Federal grants and contracts (Do not include FDSL)	4,661,000	4,661,000	0	0	5,203,000
06	State grants and contracts	62,000	62,000	0	0	99,000
07	Local government grants and contracts	28,000	28,000	0	0	99,000
<b>Private Gifts, Grants and Contracts</b>						
08	Private gifts, grants and contracts	39,667,000	7,731,000	27,426,000	4,510,000	26,882,000
08a	Private gifts	38,163,000	6,227,000	27,426,000	4,510,000	26,714,000
08b	Private grants and contracts	1,504,000	1,504,000	0	0	168,000
09	Contributions from affiliated entities	0	0	0	0	0
<b>Other Revenue</b>						
10	Investment return	84,175,000	14,917,000	69,258,000	0	-15,187,000
11	Sales and services of educational activities	0	0			0
12	Sales and services of auxiliary enterprises (net of allowance reported in Part C, line 09)	33,106,000	33,106,000			30,513,000
13	Hospital revenue	0	0			0
14	Independent operations revenue	0	0	0	0	0
15	Other revenue CV=[D16-(D01+...+D14)]	12,493,000	12,493,000	0	0	11,756,000
16	<b>Total revenues and investment return (from B01)</b>	389,715,000	288,521,000	96,684,000	4,510,000	272,053,000
17	Net assets released from restriction	0	0	0	0	
18	Net total revenues, after assets released from restriction	389,715,000	288,521,000	96,684,000	4,510,000	272,053,000
19	<b>12-month Student FTE from E12</b>	10,961				10,283
20	Total revenues and investment return per student FTE CV=[D16/D19]	35,555				26,457

You may use the space below to provide context for the data you've reported above.

**Part E - Expenses by Functional and Natural Classification**

Fiscal Year: July 1, 2012 - June 30, 2013

**Report Total Operating AND Non-Operating Expenses in this section**

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
Line No.	Expenses by Functional Classification	Total amount	Salaries and wages	Benefits	Operation and maintenance of plant	Depreciation	Interest	All other	PY Total Amount
01	Instruction	118,190,000	71,162,000	19,438,000	5,607,000	4,749,000	1,711,000	15,523,000	113,637,000
02	Research	4,705,000	1,596,000	217,000	0	30,000	0	2,862,000	4,347,000
03	Public service	6,293,000	3,717,000	1,019,000	0	9,000	0	1,548,000	6,432,000
04	Academic support	33,484,000	13,484,000	3,494,000	3,252,000	3,530,000	1,390,000	8,334,000	33,918,000
05	Student services	44,312,000	15,936,000	4,585,000	4,002,000	4,762,000	1,198,000	13,829,000	40,942,000
06	Institutional support	56,129,000	24,040,000	6,612,000	1,840,000	5,812,000	1,396,000	16,429,000	55,169,000
07	Auxiliary enterprises	31,409,000	1,465,000	421,000	5,892,000	9,168,000	5,029,000	9,434,000	29,125,000
08	Net grant aid to students (net of allowances for tuition & fee and auxiliary enterprises)	479,000						479,000	391,000
09	Hospital services	0	0	0	0	0	0	0	0
10	Independent operations	0	0	0	0	0	0	0	0
11	Operation and maintenance of plant (see instructions)	0	7,301,000	2,537,000	-20,593,000	1,969,000	212,000	8,574,000	0
12	Other expenses CV=[E13-(E01+...+E11)]	0	0	0	0	0	0	0	0
13	<b>Total expenses (from B02)</b>	295,001,000	138,701,000	38,323,000	0	30,029,000	10,936,000	77,012,000	283,961,000
	Prior year total expenses	283,961,000	132,666,000	36,519,000		27,723,000	11,910,000	75,143,000	
14	<b>12-month Student FTE from E12</b>	10,961							10,283
15	<b>Total expenses per student FTE CV=[E13/E14]</b>	26,914							27,615

You may use the space below to provide context for the data you've reported above.

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**Part H - Value of Endowment Assets**

Fiscal Year: July 1, 2012 - June 30, 2013

Line No.	Value of <u>Endowment Assets</u>	Market Value	Prior Year Amounts
Include not only endowment assets held by the institution, but any assets held by private foundations affiliated with the institution.			
01	Value of <u>endowment assets</u> at the beginning of the fiscal year	688,118,000	716,821,000
02	Value of <u>endowment assets</u> at the end of the fiscal year	760,218,000	688,118,000

You may use the space below to provide context for the data you've reported above.



Institution: Santa Clara University (122931)

User ID: inovas1

**Summary****Finance Survey Summary**

IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the [Data Center](#) and sent to your institution's CEO in November 2014.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or [ipedshelp@rti.org](mailto:ipedshelp@rti.org).

**Core Revenues**

Revenue Source	Reported values	Percent of total core revenues	Core revenues per FTE enrollment
Tuition and fees	\$215,523,000	60%	\$19,663
Government appropriations	\$0	0%	\$0
Government grants and contracts	\$4,751,000	1%	\$433
Private gifts, grants, and contracts	\$39,667,000	11%	\$3,619
Investment return	\$84,175,000	24%	\$7,680
Other core revenues	\$12,493,000	4%	\$1,140
Total core revenues	\$356,609,000	100%	\$32,534
Total revenues	\$389,715,000		\$35,555

Core revenues include tuition and fees; government appropriations (federal, state, and local); government grants and contracts; private gifts, grants, and contracts; investment return; sales and services of educational activities; and other sources. Core revenues exclude revenues from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

**Core Expenses**

Expense function	Reported values	Percent of total core expenses	Core expenses per FTE enrollment
Instruction	\$118,190,000	45%	\$10,783
Research	\$4,705,000	2%	\$429
Public service	\$6,293,000	2%	\$574
Academic support	\$33,484,000	13%	\$3,055
Institutional support	\$56,129,000	21%	\$5,121
Student services	\$44,312,000	17%	\$4,043
Other core expenses	\$479,000	0%	\$44
Total core expenses	\$263,592,000	100%	\$24,048
Total expenses	\$295,001,000		\$26,914

Core expenses include expenses for instruction, research, public service, academic support, student services, institutional support, net grant aid to students, and other expenses. Core expenses exclude expenses for auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

	Calculated value
FTE enrollment	10,961

The full-time equivalent (FTE) enrollment used in this report is the sum of the institution's FTE undergraduate enrollment and FTE graduate enrollment (as calculated from or reported on the 12-month Enrollment component). FTE is estimated using 12-month instructional activity (credit and/or contact hours). All doctor's degree students are reported as graduate students.

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