



Santa Clara
UNIVERSITY

SECURITIES TRANSFER FORM

1

Please complete this form and send it to your broker or financial advisor.

2

Please send a copy of the completed form to Gift Processing Attn: Chiqui Tañedo at ctanedo@scu.edu

If you have questions or want to give mutual funds, bonds, or foreign or restricted stock, contact Chiqui Tañedo at ctanedo@scu.edu or (408) 554-4994.

DONOR INFORMATION

FIRST NAME MIDDLE NAME LAST NAME

ADDRESS LINE 1

ADDRESS LINE 2

CITY

STATE / PROVINCE ZIP / POSTAL CODE COUNTRY

PHONE NUMBER ☐ HOME ☐ BUSINESS ☐ MOBILE

EMAIL ☐ HOME ☐ BUSINESS

ACCOUNT TITLE

ACCOUNT NUMBER

SIGNATURE DATE

SPOUSE/PARTNER SIGNATURE DATE

GIFT INFORMATION

GIFT DESIGNATION

GIFT DESIGNATION (CONTINUED)

If the gift designation is left blank, your gift will be contributed to the area of greatest need.

☐ A matching gift will be submitted by my employer,

COMPANY NAME

EMPLOYER GIFT MATCH - DEADLINE DATE

☐ Share gift credit with my spouse/partner,

SPOUSE/PARTNER FULL NAME

☐ Keep my gift anonymous

BROKER INFORMATION

BROKER/ADVISOR NAME (IF NO BROKER, PLEASE ENTER "NONE.")

BROKERAGE FIRM

BROKER/ADVISOR PHONE NUMBER

BROKER/ADVISOR EMAIL

SECURITIES INFORMATION

| # of Shares | Security Description | Ticker Symbol |
|-------------|----------------------|---------------|
| | | |
| | | |
| | | |

Please deliver by DTC to:

Arete Account via Pershing
Clearing DTC #: 0443
Account #: 6PH-120189
Santa Clara University
Tax ID #: 94-1156617
Contact: Shirley Liu
ShirleyL@aretewealth.com
Phone: (415) 543-8500

If your securities did not all fit on the previous page, please use the space below to list the remainder.

DONOR INFORMATION

| | | |
|------------|-------------|-----------|
| FIRST NAME | MIDDLE NAME | LAST NAME |
| EMAIL | DATE | |

SECURITIES TRANSFER INFORMATION

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